



## State of Vermont Executive Officer and Ethics Commission Disclosure Form

**Who must file:** Each Executive Officer (defined by 3 V.S.A. § 1201(3) as “a state officer; or under the Office of the Governor, an agency secretary or deputy or a department commissioner or deputy”) and each member of the Vermont State Ethics Commission and the Executive Director of the Vermont State Ethics Commission must file this disclosure form annually. 3 V.S.A §§ 1211(a) and 1212(a). Information required by this form is for the previous 12 months. 3 V.S.A. § 1211(a). If your sole source of income in the previous 12 months came from employment with the State of Vermont, please indicate that in Section 1(a) below. Other income should be listed in the sections that follow.

Disclosure information required herein includes some information regarding your spouse or “domestic partner.” 3 V.S.A. § 1211(a)(1). “Domestic partner,” as used throughout this form, is defined in 3 V.S.A § 1211(d)(1).

**When:** File on or before January 15 each year. If appointed after January 15, within 10 days of your appointment. 3 V.S.A. § 1211(d)(1).

**Were you a candidate for statewide office or Legislature last year?** If you filed a 17 V.S.A. § 2414 candidate disclosure form in the preceding year, and the information provided on that form has not changed since that filing, you may update that form to indicate there has been no change. 3 V.S.A. § 1211(c)(2). You may still submit this disclosure form. If there has been any change in the information provided on the candidate disclosure form, including becoming an executive officer, you must complete this form.

If needed, please attach additional pages to complete this form.

Your name: \_\_\_\_\_

Email address: \_\_\_\_\_

Office/agency: \_\_\_\_\_

Title: \_\_\_\_\_

Date you assumed office or date of appointment: \_\_\_\_\_

**Information required below is for the 12 months preceding this report. 3 V.S.A. § 1211(c)(1).**

**1. Sources of personal income during the previous 12 months:** For you, your spouse or your domestic, or both of you together, list each source of income that totals \$5,000.00 or more. You do not need to provide the actual dollar amount. 3 V.S.A. § 1211(a)(1).

**A. Employment income in the previous 12 months:** List each employer from whom you, or your spouse or domestic partner, or both of you together received income of \$5,000.00 or more and the employer’s business address. You do not need to provide the actual dollar amount. If you are self-employed, describe the nature of your employment. 3 V.S.A. § 1211(a)(1)(A).

I (and my spouse/domestic partner) have no sources of employment income required to be listed.

Employer Name	Employer Business Address or description of work if self-employed	You/Spouse or Domestic Partner/Joint

**B. Investment income during the previous 12 months:** For you, your spouse or your domestic partner, or both of you together, list each source of investment income that totals more than \$5,000.00. 3 V.S.A. § 1211(a)(3). You do not need to provide the actual dollar amount. 3 V.S.A § 1211(a)(1)(B). Sources of investment income may include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. Retirement holdings need not be listed.

I (and my spouse/domestic partner) have no sources of investment income required to be listed.

Source	Nature of Investment	You/Spouse or Domestic Partner/Joint

**C. Other Sources of Income during the previous 12 months:** For you, your spouse or your domestic partner, or both of you together, list each additional source of income not mentioned above, that totals more than \$5,000.00. You do not need to provide the actual dollar amount. 3 V.S.A § 1211(a)(1).

I (and my spouse/domestic partner) have no other sources of income required to be listed.

Source of Income	You/Spouse or Domestic Partner/Joint

**2. Service:** List each board, commission, or other entity that is regulated by law or that receives funding from the State of Vermont on which you serve and your position on it. 3 V.S.A § 1211(a)(2).

I have no service to list.

Board, Commission, other Entity	Position held

**3. Company Ownership during the previous 12 months:** List any company which you or your spouse or domestic partner, or both together owned more than 10 percent. 3 V.S.A § 1211(a)(3).

I (and my spouse/domestic partner) have no businesses required to be listed.

Business Name	Business Address	You/Spouse or Domestic Partner/Joint

4. **Lease or Contract with the State:** List any lease or contract with the State held or entered into by (a) you or your spouse or domestic partner; or (b) a company of which you or your spouse or domestic partner, or both together owned more than 10 percent. 3 V.S.A § 1211(a)(4).

I (and my spouse/domestic partner) have no leases or contracts required to be listed.

Type of lease or contract	You/Spouse or Domestic Partner/Joint

5. **Lobbying Activities:** If your spouse or domestic partner is a lobbyist 3 V.S.A. § 1211(d)(2), enter your spouse’s name below and, if applicable, the name of your spouse’s lobbying firm. 3 V.S.A § 1211(b).

My spouse/domestic partner has no lobbying activities required to be listed.

Name of spouse/domestic partner	Name of lobbying firm, if applicable

I certify that the information provided on all pages of this disclosure form is true to the best of my knowledge, information, and belief.

 Date: \_\_\_\_\_  
Signature

Send this completed disclosure form with “**Disclosure**” in the subject line to:  
ethicscommission@vermont.gov

or mail via USPS to:  
Vermont State Ethics Commission, 6 Baldwin St., Montpelier, VT 05633-7950

## ACCD Boards and Commissions

1. **Vermont Economic Development Authority**  
ACCD Secretary or designee  
10 V.S.A. § 213  
Recommendation: Joan or Brett
2. **Travel Information Council**  
ACCD Secretary or designee is Chair by statute  
10 V.S.A. § 484  
Recommendation: John remains as chair
3. **Travel and Recreation Council**  
ACCD Secretary and DTM Commissioner  
10 V.S.A. § 652  
Heather  
Recommendation: Heather
4. **Vermont Downtown Development Board**  
ACCD Secretary and State Historic Preservation Officer, or designees  
24 V.S.A. § 2792  
Recommendation: Josh
5. **Development Cabinet**  
ACCD Secretary  
3 V.S.A. § 2293  
Does not meet
6. **Commission on International Trade and State Sovereignty**  
ACCD Secretary or designee  
3 V.S.A. § 23  
Has not met  
Recommendation: Brett Long
7. **Vermont Life Advisory Board**  
ACCD Secretary appoints all nine members  
Executive Order No. 22-2 (Sep. 16, 1986)  
No longer meets
8. **Vermont Housing Council**  
DHCD Commissioner is Chair; attached to DHCD for admin support  
Executive Order No. 33-22 (Jun. 27, 2011)  
Recommendation: Josh continues to chair
9. **Vermont Housing Finance Agency**  
ACCD Secretary or designee, *ex officio*

10 V.S.A. § 611

Josh

Recommendation: Josh continues

**10. Joint Committee on Tax Credits**

DHCD Commissioner or designee

Executive Order No. 32-10 (Mar. 7, 2012)

Josh

Recommendation: Josh

**11. Vermont State Craft Center Overview Commission**

ACCD Secretary, DTM Commissioner, DED Commissioner, CMO (Chair), or designees

Attached to ACCD for admin support

Executive Order No. 9-2 (Oct. 31, 2012)

**12. Access Board**

State Historic Preservation Officer

20 V.S.A. § 2901

**13. Private Activity Bond Advisory Committee**

ACCD Secretary or designee

32 V.S.A. § 994

**14. Vermont Film and New Media Advisory Board**

ACCD Secretary appoints board of unspecified size

3 V.S.A. § 2471d

Does not meet

**15. Telecommunications and Connectivity Advisory Board**

ACCD Secretary or designee

30 V.S.A. § 202f

Mike

Recommendation: Ken

**16. Vermont Sustainable Jobs Fund Board**

ACCD Secretary or designee

10 V.S.A. § 328

Ted

Recommendation: Joan

**17. Lake Champlain Basin Program**

State Historic Preservation Officer serves on the Technical Advisory Committee.

The Lake Champlain Management Conference, created under the federal Clean Water Act, established the Pollution Prevention, Control, and Restoration Plan for Lake Champlain.

33 U.S.C. § 1270 of the federal Clean Water Act  
Laura  
Recommendation: Laura

- 18. Working Lands Enterprise Board**  
**Working Lands Enterprise Board Policy Committee**  
**Working Lands Enterprise Board Coordinating Committee**  
ACCD Secretary or designee  
6 V.S.A. § 4606  
Recommendation: Tayt

- 19. Northern Border Regional Commission**  
Governor or designee  
40 U.S.C. § 15301 (Subtitle V, Ch. 2 – Regional Economic and Infrastructure  
Development)  
Recommendation: Tayt

- 20. Vermont Council on Homelessness**  
DHCD Commissioner or designee  
Executive Order No. 33-24 (Jan. 25, 2012; *Expires* Dec. 31, 2022)  
Recommendation: Josh continues to serve

- 21. Governor’s Task Force on Employee Misclassification**  
ACCD Secretary or designee  
Executive Order No. 21-9 (Sep. 8, 2012)  
Unsure if still meets

- 22. Public Safety Broadband Network Commission**  
Representative from ConnectVT and/or ACCD  
Executive Order No. 20-49 (Apr. 30, 2013; *Expires* Jul. 15, 2019)  
Unsure if still meets

- 23. Vermont Communications Board**  
ACCD is Member  
Executive Order No. 20-44 (Jan. 17, 2012; *Expires* Jan. 31, 2022)  
Unsure if still meets

- 24. Governor’s Climate Cabinet and State Agency Climate Action Plan**  
ACCD Secretary and DHCD Commissioner  
Executive Order No. 10-40 (Dec. 28, 2012)  
Unsure if still meets

- 25. Governor’s Jobs Cabinet**  
ACCD Secretary  
Executive Order No. 3-44 (Apr. 11, 2003)  
Does not meet

- 26. Vermont Manufacturing Extension Center Advisory Board**  
ACCD Secretary, *ex officio* (Elected by Board to 2-year term; no term limits)  
Established by Trustees of Vermont State Colleges and located at VTC  
Recommendation: Joan or Brett
- 27. Clean Water Fund Board**  
ACCD Secretary or designee  
10 V.S.A. § 1389  
Recommendation: Ted continues to represent recommend Tayt
- 28. Nuclear Decommissioning Citizens Advisory Panel (NDCAP)**  
ACCD Secretary or designee, *ex officio*  
18 V.S.A. § 1700  
Recommendation: Joan or Brett
- 29. Interagency Vermont Yankee Group**  
ACCD Secretary  
Administration Initiative  
Unsure if still meeting
- 30. Building Bright Futures Council**  
ACCD Secretary or designee  
33 V.S.A. § 4602  
Recommendation: Lindsay
- 31. Governor's Council on Energy and the Environment**  
ACCD Secretary  
Executive Order No. 10-37 (Mar. 30, 2011)  
Does not meet
- 32. Vermont Small Business Development Center Advisory Board**  
DED Commissioner (Co-Chair)  
Recommendation: Joan
- 33. Vermont Small Business Development Center Coordinating Council**  
ACCD Secretary and DED Commissioner  
**Recommendation: Joan and Lindsay**
- 34. State Workforce Development Board**  
ACCD Secretary and DED Commissioner  
10 V.S.A. § 541a  
Recommendation: Joan and Lindsay
- 35. State Infrastructure Bank Board**  
Recommendation: Joan Goldstein



10 V.S.A. § 280e  
Recommendation: Lindsay

**36. Clean Energy Development Board**

ACCD Secretary or designee, elected by Public Service Commissioner (4-year term  
*expires 2019*)  
30 V.S.A. § 8015(e)  
Recommendation: Ken Jones

**37. Clean Energy Finance Collaborative**

ACCD Secretary or designee  
Coordinated under Clean Energy Development Fund established by 30 V.S.A. § 8015  
Recommendation: Ken Jones

**38. Vermont Sustainable Jobs Fund Board**

ACCD Secretary or designee  
10 V.S.A. § 328  
Recommendation: Joan

**39. Vermont Farm-to-Plate Investment Program Steering Committee**

ACCD Secretary or designee  
Established by VSJF under 10 V.S.A. § 330 (Farm-to-Plate enabling law)

**Food Business Pipeline Advisory Group**

ACCD Secretary or designee  
Established by Vermont Farm-to-Plate Investment Program  
Recommendation: Joan continues

**40. Vermont Council on Rural Development Board**

VCRD picks 3 state leaders – ACCD has traditionally been one  
Recommendation: TBD – recommend Tayt

**41. Vermont Climate Change Economy Council**

ACCD Secretary or designee  
Established under VCRD Climate Economy Initiatives  
not attending

**42. Climate Economy Network Development Initiative Advisory Group**

ACCD Secretary or designee  
Established under VCRD Climate Economy Initiatives  
not attending

**43. Health in All Policies Task Force**

ACCD Representative  
Executive Order No. 3-69 (Oct. 6, 2015)  
Recommendation: Elaine Haney continues to serve

44. **Vermont Agriculture and Culinary Tourism Council**  
DTM Commissioner or designee  
Statewide Ag/Tourism Collaborative  
Recommendation:
45. **National Council of State Tourism Directors**  
DTM Commissioner  
National Organization
46. **Discover New England**  
DTM Commissioner or designee  
Cooperative Destination Marketing Organization

**Programmatically Related to ACCD**

45. **Vermont Affordable Housing Coalition**  
Statewide Membership Organization  
DHCD Attends/Participates regularly as a non-paying member
46. **Vermont Housing and Conservation Board**  
10 V.S.A. § 311
47. **Vermont Community Development Board**  
10 V.S.A. § 685 – attached to ACCD for admin support
48. **Vermont State Housing Authority**  
24 V.S.A. § 4005
49. **Vermont Commission on Native American Affairs**  
1 V.S.A. § 852 – attached to Division for Historic Preservation for admin support
50. **Advisory Council on Historic Preservation**  
22 V.S.A. § 741
51. **Vermont Economic Progress Council**  
32 V.S.A. § 5930a – attached to DED for admin support
52. **Vermont Global Trade Partnership**  
DED International Trade Program and Vermont Registered Trade Name