

ETHICS COMMISSION

Christina Sivret, Executive Director

Fiscal Year 2023 Budget Request



Photo: Ricker Pond, Groton, VT

Fiscal Year 2023 Budget Request

**V E R M O N T
E T H I C S C O M M I S S I O N**

*Paul Erlbaum, Chair
Christina Sivret, Executive Director*

Budget Development
*Holly S. Anderson, AoA Chief Financial Officer
Brenda Berry, AoA Deputy Chief Financial Officer
Jason Pinard, Financial Director II
Shawn Benham, Financial Director II*

*Fiscal Year 2023 Budget Request
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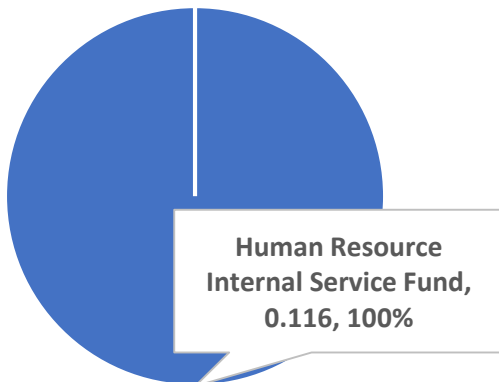
**V E R M O N T
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Vermont Ethics Commission
FY 2023 Governor's Recommend Budget

MISSION: The State Ethics Commission practices and promotes the highest level of ethical standards and accountability in state government.

Governor's Recommended
Budget FY 2023
(\$.116 millions)



FY 2023 SUMMARY & HIGHLIGHTS

- **The Vermont State Ethics Commission presents a \$116,575 or 2.9% increase in the HR Fund (59600) budget.**
- **Updated Annual Report.**
- **Received 12 complaints and 6 formal guidance requests n Calendar Year 2021.**
- **Provided several ethics educational activities.**
- **Worked towards adopting a code of ethics enforceable by law. This will continue into the new legislative season.**
- **Hired New Executive Director in late 2021**
- **Hired Administrative Assistant to start in 2022.**



VERMONT STATE ETHICS COMMISSION

2021 ANNUAL REPORT

Submitted to the General Assembly January 15, 2022

"Ethics is knowing the difference between what you have a right to do and what is right to do."

-Potter Stewart

6 Baldwin St.

Montpelier, VT 05633-7950

<https://ethicscommission.vermont.gov/>

This report: <https://ethicscommission.vermont.gov/reports>

COMMISSION MEMBERS

Paul Erlbaum, Esq. (Chair)

Appointed by the League of Women Voters of Vermont, term ending December 31, 2022

Christopher Davis, Esq.

Appointed by the Vermont Bar Association, term ending December 30, 2021

Sarah Biolsi Vangel, Esq.

Appointed by the Chief Justice of the Vermont Supreme Court, term ending December 31, 2023

Michele Eid, CPA

Appointed by the Board of Directors of the Vermont Society of Certified Public Accountants, term ending December 31, 2022

Susan “Ze” Anderson-Brown

Appointed on August 10, 2021 by the Board of Directors of the Society of Human Resource Management, term ending December 31, 2022¹

EXECUTIVE DIRECTOR

Larry S. Novins, Esq., through September 30, 2021

Christina Sivret, Esq., beginning November 8, 2021

For Commissioner biographies see: <https://ethicscommission.vermont.gov/about-us/biographies>

¹ On a leave of absence at the time of the writing of this report.

INTRODUCTION

Pursuant to 3 V.S.A. § 1226, the Vermont State Ethics Commission submits its fifth annual report to the General Assembly for the calendar year 2021. As required by statute, this report summarizes the number and types of complaints made to the Commission and the disposition of those complaints, summarizes the type and number of guidance documents issued by the Executive Director, and makes recommendations for legislative action to address State governmental ethics.

COMMISSION AUTHORITY

The Commission has the authority to accept, review, and refer written complaints from any source regarding government ethics in any of the three branches of State government and violations of the State's campaign finance laws. 3 V.S.A. § 1223(a)-(b). The Commission does not have investigatory or enforcement powers and complaints warranting further action are referred to other relevant entities.

The Commission may also issue non-binding Guidance and Advisory Opinions regarding any issue related to governmental ethics. Upon request, the Executive Director may issue ethical Guidance to an executive officer or other State employee as it relates to that person's duties. Guidance is confidential unless the recipient discloses it. 3 V.S.A. §1225(a).

The Executive Director may issue an Advisory Opinion to a State officer or employee regarding the requestor's ongoing or prospective conduct. 3 V.S.A. §1225(b). The Executive Director can also proactively issue Advisory Opinions in response to issues brought to the attention of the Commission via requests for Guidance or any other manner. Advisory Opinions are in writing, do not contain any personally identifying information, and are posted to the Commission's website within thirty days of issuance.

Guidance and Advisory Opinions are formulated by interpreting and applying state law, governmental codes of conduct, and the General Principles set forth in the Code of Ethics drafted by the Commission pursuant to 3 V.S.A. § 1202. The Commission's Code of Ethics covers subjects found in most state and federal codes of ethics, but it does not have the force of law.

The Ethics Commission also serves as a resource for public servants about governmental ethics and provides ethics trainings to State employees.

COMPLAINTS

The Complaint Process

By statute, complaints submitted to the Ethics Commission are confidential. Once received, the Executive Director performs a preliminary review of each complaint and decides whether the complaint should be referred for further action or closed. When a complaint is referred for further action, the receiving entity uses its own policies and procedures to investigate and to decide what, if any, action to take. Below are examples of the types of complaints the Commission might receive and refer to other entities for further action.

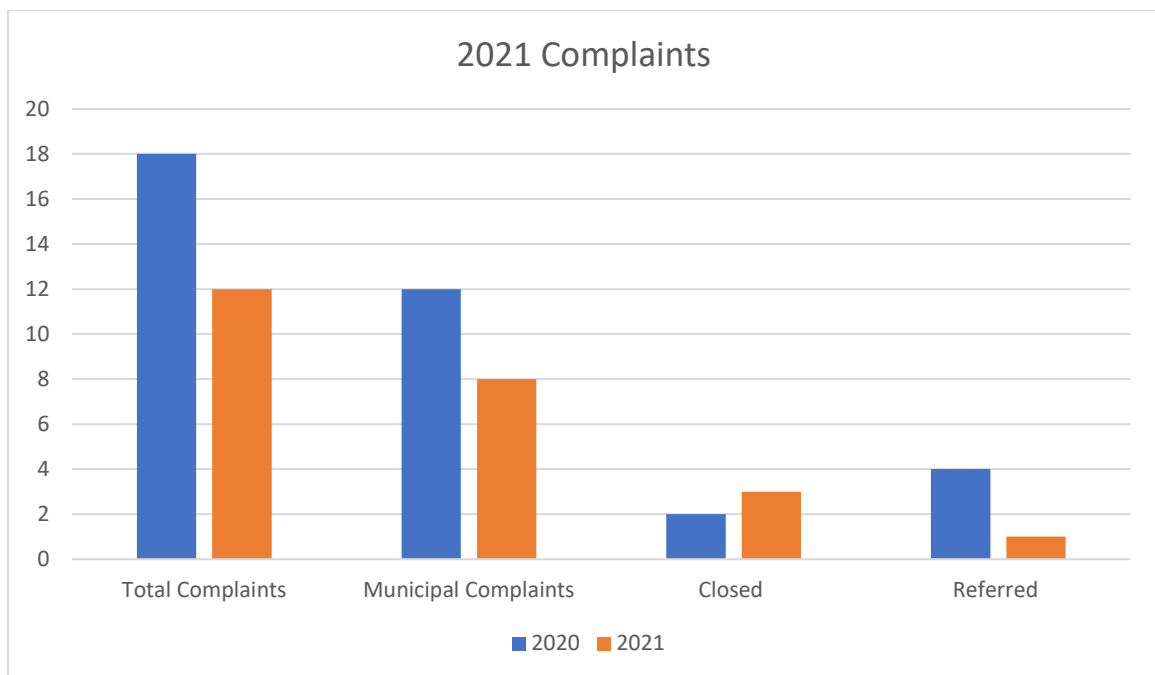
- Complaints alleging a crime, a violation of governmental conduct regulated by law, or a violation of campaign finance law are referred to the Attorney General or the relevant State's Attorney.

- Complaints alleging a violation of the Department of Human Resources Personnel Policy and Procedure Manual are referred to the Commissioner of Human Resources.
- Complaints regarding conduct committed by a judicial officer are referred to the Judicial Conduct Board.
- Complaints regarding conduct committed by an attorney are referred to the Professional Responsibility Board.
- Complaints regarding conduct committed by a State Representative are referred to the House Ethics Panel.
- Complaints regarding conduct committed by a State Senator are referred to the Senate Ethics Panel.

Summary Of Complaints

Twelve complaints were filed with the Ethics Commission in 2021

- Eight complaints were in reference to municipal conduct and were closed due to lack of Commission jurisdiction to address municipal issues.
- Three complaints were closed without being referred as the conduct alleged in the complaints did not meet the criteria for referral.
- One complaint was referred to the Department of Human Resources for further action.



As in prior years, the Commission received a far higher number of inquiries about the complaint process than it did formal written complaints. Frequently, potential complainants opted not to submit a complaint after learning the Commission would only be able to review and refer the complaint rather than serve an active role helping to resolve their issues. In some instances, complainants who submitted a formal complaint did not want it to be referred to receiving entities because perceived conflicts of interest would prevent those entities from responding to their complaint objectively.

Complaints that were closed because they could not be referred for further action alleged a variety of conduct. Some complaints alleged misconduct by State of Vermont public servants regarding decisions they made as part of their employment. Complainants believed that the conduct of the subjects of their complaint was unethical because it was unwise, ill-conceived, or in some cases harmful to individuals in their care. These complaints did not specifically regard the code of ethics, or any of the applicable executive order or DHR personnel policies, and therefore could not be acted upon under the Ethics Commission statutes. Other complaints, such as one alleging intentional misstatements of facts could not be referred for action because the misstatements were not violations of “laws” but rather a violation of a gubernatorial executive order prescribing acceptable conduct. The Commission believes that once a Code of Ethics with the force of law is in place, there will be an increase in the number of complaints submitted for review.

Campaign Finance Complaints

The Ethics Commission received no campaign finance violation complaints in 2021. The Office of the Attorney General is required to report complaints it receives related to campaign finance violations to the Ethics Commission. 17 V.S.A. § 2904a. In 2021 the Attorney General received 13 complaints, all of which were closed by the end of the year.

Municipal Complaints

As in prior years, the number of municipal ethics inquiries and complaints to the Ethics Commission far exceeded the number of complaints against State government public servants. The complaints include municipal public servants (MPSs) using town information for their own commercial purposes, speaking on behalf of towns with no authority to do so while at the same time contradicting other town officials, MPSs giving preferential treatment to friends and family, incivility, misuse of official position, and conflicts of interest.

Although the Ethics Commission’s duties under current Vermont law do not include addressing municipal ethics, the Legislature has previously recognized that municipal ethics are worthy of observation. Sec. 17 of Act 79 required the Secretary of State to accept written complaints regarding municipal governmental ethical conduct through December 15, 2020 and report those complaints to the Ethics Commission. In 2021, the Secretary of State’s office continued to track inquiries about municipal ethics and reported that it received 75 municipal ethics inquiries.

GUIDANCE

Guidance Process

State employees may request confidential Guidance from the Executive Director of the Ethics Commission regarding any issue related to governmental ethics as it relates to the requestor’s duties. Guidance and requests for Guidance may be oral or in writing.

Summary of 2021 Guidance Requests

The Commission received 6 requests for Guidance in 2021 on the following topics:

- Relationships between public servants and non-profit organizations
- Boundaries between State agencies and non-governmental constituent organizations

- Adoption of policies
- Real or potential conflicts of interest
- Criteria for hiring external speakers

ADVISORY OPINIONS

Advisory Opinion Process

State employees or officers may request an Advisory Opinion from the Executive Director regarding any issue related to governmental ethics and the requestor's ongoing or prospective conduct. Advisory Opinions are in writing, do not contain any individual's personally identifying information, and are posted to the Commission's website within 30 days of issuance.

Summary of 2021 Advisory Opinion Requests

There were no requests for formal Advisory Opinions in 2021.

As the Ethics Commission noted in prior annual reports,

The Code of Ethics [adopted by the Ethics Commission] was not the product of formal rulemaking or legislative enactment. It lacks the force of law and is, therefore, unenforceable. To the extent an Advisory Opinion may be based on the Code of Ethics, it gives a sense of what ethical conduct should include, but not what is required. An Advisory Opinion based on an unenforceable code of ethics is illusory and cannot safely be used as precedent. It gives public servants no assurance that relying on the opinion will be safe or without consequence.

As such, demand for Advisory Opinions is low. The Commission expects requests for advice and guidance to increase once a statutory Code of Ethics is in place.

YEAR IN REVIEW

The focus of the Ethics Commission in 2021 was to advocate the passage of a statutory code of ethics applicable to all three branches of government. Vermont continues to be one of only five states without a statutory code of ethics. The Commission believes passage is a vital next step in building a governmental ethics framework in Vermont.² It will ensure that public servants across all three branches of government are held to the same standards and have a consistent understanding of what is expected of them, and what to expect, as they perform their duties. It will also serve to increase public trust in government. Vermonters will feel confident that Vermont places a high value on ethics in government.

2021 Legislative Efforts

After extensive research and drafting in 2020, the Ethics Commission submitted two draft bills to the Legislature for consideration in 2021. The first amended existing statutes to clarify who can request Guidance and Advisory Opinions, mirroring policies the Ethics Commission adopted in 2020. This draft

² The four other states without a statutory code of ethics are Arizona, Idaho, New Hampshire, and Wyoming.

bill became H.135, which passed the House and Senate, and was signed into law by Governor Scott, effective June 1, 2021.

H.135 also changed the Executive Officer Financial Disclosure form requirements. Executive Officers must now disclose financial information annually instead of biannually. Both blank and completed Disclosure forms are available to the public on the Commission's website.

The second draft bill submitted to the Legislature was the draft Code of Ethics. It was first submitted to the Legislature in November 2020, and in 2021 the resulting bill – H.384 - was referred to the House Committee on Government Operations. Meetings were held by the Senate Committee on Government Operations to discuss the bill, but it was not considered by either Chamber during the 2021 session. At the end of 2021, the Commission redoubled its efforts to ensure the bill would be heard during the 2022 Legislative session. At the time of writing, the bill is with the Senate Committee on Government Operations with hearings expected in mid-January 2022.

Ethics Education Outreach Activities

The Executive Director participated in several ethics trainings for government employees with the Center for Achievement in Public Service (CAPS). He also presented a government ethics overview to the Vermont Department of Libraries and the Cannabis Control Board and its Advisory Committee. In September 2021, he participated in two programs held under the auspices of the U.S. Department of State sponsored by the Vermont Council on World Affairs. Due to the lingering pandemic, there was no opportunity to participate in any legislative ethics trainings.

Executive Director, Substantive and Administrative Duties

The Executive Director, a half-time position, has been the Ethics Commission's only employee. The Executive Director arranges agendas; responds to complaints, inquiries, and requests for advice; drafts all Ethics Commission documents ranging from complaint-related correspondence to proposed statutes and annual reports; researches and analyzes legal issues; formulates policy; provides ethics education; testifies; and responds to media inquiries. In addition, the Executive Director is responsible for numerous Ethics Commission administrative duties. When Commission work is at its busiest, the administrative burdens are substantial. H.135 authorized the Ethics Commission to hire a part-time administrative assistant, but it did not authorize funding for the position. Using one-time funds from its budget, the Commission hired an administrative assistant who began work on January 3, 2022. The administrative assistant will handle many of the administrative tasks of the Commission, allowing the Executive Director to focus on the core mission of the Commission.

ETHICS CODE ENFORCEMENT

Once a statutory Code of Ethics is passed, it will need to be enforced. Some manner of enforcement power for the Ethics Commission will likely be considered in the future. However, enforcement powers for the Commission are not included in the draft bill submitted to the Legislature. Discussion of enforcement powers for the Commission should come only after the Legislature has agreed on exactly what Vermont statutory ethics standards will be, and public servants have had opportunity to be educated and trained on the standards. An initial period for ethics education and awareness will allow for a thoughtful evaluation of how the code is working. When enforcement powers for the Ethics

Commission are eventually considered, there should be a collaborative discussion to develop an enforcement model that works best for Vermont.

Compliance is the primary goal, and the Commission continues to believe the quickest, most effective way to achieve it is through ethics education and awareness.

RECOMMENDATIONS

- 1) The Ethics Commission recommends passage of a statutory Code of Ethics applicable to all three branches of government. A comprehensive governmental ethics framework with the force of law is essential for the equal application of consistent ethical standards across State government.
- 2) The Ethics Commission recommends that the Legislature ensure the funding of the Ethics Commission through June 30, 2023, including funding for a part-time Administrative Assistant.
- 3) The Ethics Commission recommends that the Legislature consider ways to provide ethics direction and assistance to municipalities. The number and frequency of complaints, both formal and informal, regarding municipal misconduct is concerning. The Commission believes passage of a statutory Code of Ethics will help fulfill the General Assembly's recommendation that "each town, city, and incorporated village adopt ethical conduct policies for its elected and appointed officials and employees." Sec. 16, Act 79. Some cities and towns lack independent resources to consult about basic ethical practices. A State statutory Code of Ethics would provide a comprehensive and vetted frame of reference for municipalities looking to develop their own ethical conduct policies.

CONCLUSION

The Ethics Commission firmly believes its most productive roles at this time are to raise awareness about governmental ethics and work towards the adoption of a statutory Code of Ethics. In the end, government integrity is recognized only when the public is confident that its servants are "doing the right thing." The Ethics Commission is committed to its role in that effort.

Respectfully submitted:



Vermont State Ethics Commission,
Christina Sivret
Executive Director

* * *

Program Profile Report--Attachment A1
 FY23 Ethics Commission

Vermont State Ethics Commission		Financial Info			
Programs	Financial Category	All other funds \$\$	Total funds \$\$	Authorized Positions (if available)	\$ Amounts granted out (if available)
PROGRAM NAME					
Ethics Commission	FY 2021 Actual expenditures	\$ 88,284.00	\$ 88,284.00	0	\$ -
	FY 2022 estimated expenditures (including requested budget adjustments)	\$ 83,820.00	\$ 83,820.00	0	\$ -
	FY 2023 Budget Request for Governor's Recommendation	\$ 116,575.00	\$ 116,575.00	0	\$ -
	FY 2021 Actuals	\$ 88,284.00	\$ 88,284.00	-	\$ -
	FY 2022 Estimated	\$ 83,820.00	\$ 83,820.00	-	\$ -
	FY 2023 Budget Request	\$ 116,575.00	\$ 116,575.00	-	\$ -

Programmatic Performance Measure Report

Attachment A-2

Governmental Unit	Ethics Commission (Annual Report can be found at https://ethicscommission.vermont.gov/reports)
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Complaints	
See Annual Report for description	

Measure Name	Measure Type	Previous Period Value	Current Period Value	Reporting Period
Number of Complaints	How Much?	18	12	CY
Referred Complaints	How Much?	12	1	CY

Formal Guidance Requests	
See Annual Report for description	

Measure Name	Measure Type	Previous Period Value	Current Period Value	Reporting Period
Formal Guidance Requests	How Much?	1	6	CY

Advisory Opinions	
See Annual Report for description	

Measure Name	Measure Type	Previous Period Value	Current Period Value	Reporting Period
Number of Advisory Opinions	How Much?	0	0	CY

Trainings	
See Annual Report for description	

Measure Name	Measure Type	Previous Period Value	Current Period Value	Reporting Period
Number of Trainings Provided	How Much?	5	5	CY

Fiscal Year 2023 Budget Development Form - Ethics Commission

	General \$\$	Tobacco \$\$	Federal \$\$	Interdept'l Transfer \$\$	All other \$\$ 59600-HR Fund	Total \$\$	<u>Related Strategic Plan Outcome</u>
Approp #1 Ethics Commission: FY 2022 Approp	0	0	0	0	113,317	113,317	
Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY22 budget)						0	
FY 2022 Other Changes	0	0	0	0	0	0	
Total Approp. After FY 2022 Other Changes		0	0		113,317	113,317	
Salary & Benefit Increase					6,393	6,393	Structural Statewide Pressure
Internal Service Fund decrease					(3,970)	(3,970)	Structural Statewide Pressure
Operating Cost increase					835	835	Structural Statewide Pressure
						0	
						0	
Subtotal of Increases/Decreases	0	0	0	0	3,258	3,258	
FY 2023 Governor Recommend	0	0	0	0	116,575	116,575	2.9%

State of Vermont
FY2023 Governor's Recommended Budget: Rollup Report

Organization: 1300001000 - State Ethics Commission

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Salaries and Wages	46,918	47,224	47,224	46,998	(226)	-0.5%
Fringe Benefits	18,104	18,572	18,572	45,527	26,955	145.1%
Contracted and 3rd Party Service	2,515	5,000	5,000	5,000	0	0.0%
PerDiem and Other Personal Services	2,300	13,030	13,030	(7,546)	(20,576)	-157.9%
Budget Object Group Total: 1. PERSONAL SERVICES	69,837	83,826	83,826	89,979	6,153	7.3%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Equipment	0	1,425	1,425	2,000	575	40.4%
IT/Telecom Services and Equipment	1,872	4,797	4,797	4,542	(255)	-5.3%
Other Operating Expenses	225	0	0	0	0	0.0%
Other Purchased Services	1,427	4,569	4,569	5,270	701	15.3%
Property Rental	9,937	10,675	10,675	6,759	(3,916)	-36.7%
Supplies	394	500	500	500	0	0.0%
Travel	128	7,525	7,525	7,525	0	0.0%
Budget Object Group Total: 2. OPERATING	13,983	29,491	29,491	26,596	(2,895)	-9.8%

Total Expenditures	83,820	113,317	113,317	116,575	3,258	2.9%
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State of Vermont
FY2023 Governor's Recommended Budget: Rollup Report

Organization: 1300001000 - State Ethics Commission

Fund Name	FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
ISF Funds	83,820	113,317	113,317	116,575	3,258	2.9%
IDT Funds	0	0	0	0	0	0.0%
Funds Total	83,820	113,317	113,317	116,575	3,258	2.9%

Position Count	2
FTE Total	1

State of Vermont
FY2023 Governor's Recommended Budget: Detail Report

Organization: 1300001000 - State Ethics Commission

Budget Object Group: 1. PERSONAL SERVICES

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Salaries and Wages							
Description	Code						
Classified Employees	500000	46,918	0	0	0	0	0.0%
Exempt	500010	0	47,224	47,224	46,998	(226)	-0.5%
Total: Salaries and Wages		46,918	47,224	47,224	46,998	(226)	-0.5%

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Fringe Benefits							
Description	Code						
FICA - Classified Employees	501000	3,621	0	0	0	0	0.0%
FICA - Exempt	501010	0	3,613	3,613	3,595	(18)	-0.5%
Health Ins - Classified Empl	501500	8,340	0	0	0	0	0.0%
Health Ins - Exempt	501510	0	8,340	8,340	28,665	20,325	243.7%
Retirement - Classified Empl	502000	5,161	0	0	0	0	0.0%
Retirement - Exempt	502010	0	5,336	5,336	11,984	6,648	124.6%
Dental - Classified Employees	502500	397	0	0	0	0	0.0%
Dental - Exempt	502510	0	836	836	853	17	2.0%
Life Ins - Classified Empl	503000	388	0	0	0	0	0.0%
Life Ins - Exempt	503010	0	199	199	235	36	18.1%
LTD - Classified Employees	503500	74	0	0	0	0	0.0%
LTD - Exempt	503510	0	109	109	0	(109)	-100.0%
EAP - Classified Empl	504000	31	0	0	0	0	0.0%

State of Vermont
FY2023 Governor's Recommended Budget: Detail Report

Organization: 1300001000 - State Ethics Commission

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Fringe Benefits							
Description	Code						
EAP - Exempt	504010	0	32	32	66	34	106.3%
Workers Comp - Ins Premium	505200	92	107	107	129	22	20.6%
Total: Fringe Benefits		18,104	18,572	18,572	45,527	26,955	145.1%

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Contracted and 3rd Party Service							
Description	Code						
Contr & 3Rd Party - Legal	507200	350	0	0	0	0	0.0%
Contr&3Rd Pty-Educ & Training	507350	0	0	0	0	0	0.0%
Contr&3Rd Pty - Info Tech	507550	0	0	0	0	0	0.0%
Other Contr and 3Rd Pty Serv	507600	2,165	5,000	5,000	5,000	0	0.0%
Total: Contracted and 3rd Party Service		2,515	5,000	5,000	5,000	0	0.0%

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
PerDiem and Other Personal Services							
Description	Code						
Per Diem	506000	2,300	11,388	11,388	11,388	0	0.0%
Other Pers Serv	506200	0	1,642	1,642	(18,934)	(20,576)	-1,253.1%
Total: PerDiem and Other Personal Services		2,300	13,030	13,030	(7,546)	(20,576)	-157.9%
Total: 1. PERSONAL SERVICES		69,837	83,826	83,826	89,979	6,153	7.3%

State of Vermont

FY2023 Governor's Recommended Budget: Detail Report

Organization: 1300001000 - State Ethics Commission

Budget Object Group: 2. OPERATING

Equipment			FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Description	Code						
Furniture & Fixtures	522700	0	1,425	1,425	2,000	575	40.4%
Total: Equipment		0	1,425	1,425	2,000	575	40.4%

IT/Telecom Services and Equipment		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Description	Code						
ADS VOIP Expense	516605	59	0	0	0	0	0.0%
Telecom-Telephone Services	516652	361	0	0	0	0	0.0%
Telecom-Wireless Phone Service	516659	162	600	600	600	0	0.0%
ADS Enterp App Supp SOV Emp Exp	516660	0	0	0	0	0	0.0%
It Intsvccost-Vision/Isdassess	516671	0	973	973	714	(259)	-26.6%
ADS Allocation Exp.	516685	1,290	1,224	1,224	1,206	(18)	-1.5%
Hw - Computer Peripherals	522201	0	0	0	0	0	0.0%
Hardware - Desktop & Laptop Pc	522216	0	2,000	2,000	2,022	22	1.1%
Total: IT/Telecom Services and Equipment		1,872	4,797	4,797	4,542	(255)	-5.3%

Other Operating Expenses		FY2021 Actuals			FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and As Passed	Percent Change FY2023 Governor's Recommend and As Passed
Description	Code						

State of Vermont
FY2023 Governor's Recommended Budget: Detail Report

Organization: 130001000 - State Ethics Commission

Other Operating Expenses		FY2021 Actuals	FY2022			FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and As Passed	Percent Change FY2023 Governor's Recommend and As Passed
			FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2022 Governor's Recommended Budget			
Description	Code							
Registration & Identification	523640	225	0	0	0	0	0.0%	
Total: Other Operating Expenses		225	0	0	0	0	0.0%	

Other Purchased Services		FY2021 Actuals	FY2022			FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
			FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2022 Governor's Recommended Budget			
Description	Code							
Insurance Other Than Empl Bene	516000	24	9	9	7	(2)	-22.2%	
Insurance - General Liability	516010	84	95	95	145	50	52.6%	
Dues	516500	445	0	0	500	500	100.0%	
Licenses	516550	0	0	0	0	0	0.0%	
Advertising-Print	516813	0	0	0	0	0	0.0%	
Printing and Binding	517000	0	0	0	0	0	0.0%	
Printing & Binding-Bgs Copy Ct	517005	0	0	0	0	0	0.0%	
Printing-Promotional	517010	0	0	0	0	0	0.0%	
Registration For Meetings&Conf	517100	0	0	0	0	0	0.0%	
Postage	517200	0	0	0	0	0	0.0%	
Postage - Bgs Postal Svcs Only	517205	0	0	0	0	0	0.0%	
Outside Conf, Meetings, Etc	517500	0	3,600	3,600	3,600	0	0.0%	
Agency Fee	519005	230	230	230	230	0	0.0%	
Human Resources Services	519006	645	635	635	788	153	24.1%	
Moving State Agencies	519040	0	0	0	0	0	0.0%	
Total: Other Purchased Services		1,427	4,569	4,569	5,270	701	15.3%	

State of Vermont
FY2023 Governor's Recommended Budget: Detail Report

Organization: 1300001000 - State Ethics Commission

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Property Rental							
Description	Code						
Fee-For-Space Charge	515010	9,937	10,675	10,675	6,759	(3,916)	-36.7%
Total: Property Rental		9,937	10,675	10,675	6,759	(3,916)	-36.7%

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Supplies							
Description	Code						
Office Supplies	520000	0	500	500	500	0	0.0%
Subscriptions	521510	394	0	0	0	0	0.0%
Total: Supplies		394	500	500	500	0	0.0%

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Travel							
Description	Code						
Travel-Inst-Auto Mileage-Emp	518000	0	0	0	0	0	0.0%
Travel In-State Non-Employee	518299	0	1,000	1,000	1,000	0	0.0%
Travel-Inst-Auto Mileage-Nonemp	518300	128	0	0	0	0	0.0%
Travel-Inst-Other Trans-Nonemp	518310	0	0	0	0	0	0.0%
Travel-Inst-Meals-Nonemp	518320	0	0	0	0	0	0.0%
Travel-Outst-Auto Mileage-Emp	518500	0	0	0	0	0	0.0%
Travel-Outst-Other Trans-Emp	518510	0	2,000	2,000	2,000	0	0.0%
Travel-Outst-Meals-Emp	518520	0	900	900	900	0	0.0%

State of Vermont
FY2023 Governor's Recommended Budget: Detail Report

Organization: 1300001000 - State Ethics Commission

		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Travel							
Description	Code						
Travel-Outst-Lodging-Emp	518530	0	3,000	3,000	3,000	0	0.0%
Travel-Outst-Incidentals-Emp	518540	0	625	625	625	0	0.0%
Trvl-Outst-Other Trans-Nonemp	518710	0	0	0	0	0	0.0%
Travel-Outst-Meals-Nonemp	518720	0	0	0	0	0	0.0%
Travel-Outst-Lodging-Nonemp	518730	0	0	0	0	0	0.0%
Trvl-Outst-Incidentals-Nonemp	518740	0	0	0	0	0	0.0%
Total: Travel		128	7,525	7,525	7,525	0	0.0%

Total: 2. OPERATING	13,983	29,491	29,491	26,596	(2,895)	-9.8%
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Total Expenditures	83,820	113,317	113,317	116,575	3,258	2.9%
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		FY2021 Actuals	FY2022 Original As Passed Budget	FY2022 Governor's BAA Recommended Budget	FY2023 Governor's Recommended Budget	Difference Between FY2023 Governor's Recommend and FY2022 As Passed	Percent Change FY2023 Governor's Recommend and FY2022 As Passed
Fund Name	Fund Code						
Inter-Unit Transfers Fund	21500	0	0	0	0	0	0.0%
Human Resource Services	59600	83,820	113,317	113,317	116,575	3,258	2.9%
Funds Total		83,820	113,317	113,317	116,575	3,258	2.9%

Position Count	2
FTE Total	1.00

State of Vermont
FY2023 Governor's Recommended Budget
Position Summary Report

1300001000-State Ethics Commission

Position Number	Classification	FTE	Count	Gross Salary	State Benefits	Federally Mandated	Total
967001	95010E - Executive Director	0.50	1	46,998	21,489	3,595	72,082
967002	05010E - Administrative Assistant	0.50	1	0	20,314	0	20,314
Total		1.00	2	46,998	41,803	3,595	92,396

Fund Code	Fund Name	FTE	Count	Gross Salary	State Benefits	Federally Mandated	Total
59600	Human Resource Services	1.00	2	46,998	41,803	3,595	92,396
Total		1.00	2	46,998	41,803	3,595	92,396

Note: Numbers may not sum to total due to rounding.



Ethics Commission
Five Members Appointed
per Statute

Executive Director
Part-Time Exempt