

Vermont Executive Officers and Ethics Commission Members Financial Disclosure Form

Who must file: Each Executive Officer (defined by 3 V.S.A. § 1201(3) as "a state officer; or under the Office of the Governor, an agency secretary or deputy or a department commissioner or deputy") and each member of the Vermont State Ethics Commission and the Executive Director of the Vermont State Ethics Commission must file this financial disclosure form. 3 V.S.A §§ 1211(a) and 1212(a).

When: File on or before January 15 of odd-numbered years, or if appointed after January 15, then within 10 days after the appointment. 3 V.S.A. § 1211(a).

VSEC revised: December 2020

Financial information provided below is for the calendar year preceding this report. 3 V.S.A. § 1211(c)(1).

- 1. Sources of personal income For you, your spouse or your domestic partner as defined in 3 V.S.A § 1211(d)(1), or both of you together, disclose each source of income that totals more than \$5,000.00. You do not need to provide the actual dollar amount. 3 V.S.A. § 1211(a)(1).
 - A. **Employment income** List each employer and employer business address. If you are self-employed, describe the nature of your employment. 3 V.S.A. § 1211(a)(1)(A).

☐ Neither I nor my spouse/domestic partner have sources of employment income required to be listed.

Employer Name	Employer Business Address or description of work if self-employed	You/Spouse or Domestic Partner/Joint
State of Vermant	112 State Street Montpiles V.	hyself
SAU32, Lebayon HighSch	The second secon	spouse

B. Investment income – For you, your spouse or your domestic partner as defined in 3 V.S.A § 1211(d)(1), or both of you together, disclose each source of investment income that totals more than \$5,000.00. 3 V.S.A. § 1211(a)(3). You do not need to provide the actual dollar amount. 3 V.S.A § 1211(a)(1)(B). Sources of investment income may include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. Retirement holdings need not be listed.

☐ Neither I nor my spouse/domestic partner have sources of investment income required to be listed.

Source	Nature of Investment	You/Spouse or
		Domestic
		Partner/Joint
RBC Dain Rausche	Refirement investments (4016/100)	an hyself
Fidelily	ruhal huds 5 locks pollorer	Sponse
•		

Neither I nor my spouse/	domestic partner	nave any other source	es of income required to be
	Source of Income		You/Spouse or Domestic Partner/Joint
		×	
1	= %		
1			
ce – List each board, comm te of Vermont on which you	ssion, or other en serve and your po	tity that is regulated basition on it. 3 V.S.A §	oy law or that receives fund 1211(a)(2).
te of Vermont on which you ☐ I have no service to list.	serve and your po	osition on it. 3 V.S.A §	. 1211(a)(2).
□ I have no service to list. Board, Commission, o	other Entity	osition on it. 3 V.S.A §	sition held
Board, Commission,	other Entity Chigus Amel	Position on it. 3 V.S.A §	sition held
Board, Commission, of Nuclear Decommission, of Nuclear Decommission, of New England States (a New England (Menerological Company Ownership - List any	other Entity Chigus famel muilten Elechich company which ye	Position on it. 3 V.S.A §	sition held
□ I have no service to list. Board, Commission, o	other Entity Chigus faul Maille Clechich of Public Utili company which you	Position on it. 3 V.S.A § Position on it. 3 V.S.A § Position on it. 3 V.S.A § Position on it. 3 V.S.A §	en ber en ber en ber en ber ex Officis Hours lomestic partner, or both to

or your spouse or domestic partner; or (b) a company of which both together owned more than 10 percent. 3 V.S.A § 1211(a Neither I nor my spouse/domestic partner have least)(4).
Type of lease or contract	You/Spouse or Domestic Partner/Joint
5. Lobbying Activities – If your spouse or domestic partner is a name below and, if applicable, the name of his or her lobbying My spouse/domestic partner has no lobbying activition	g firm. 3 V.S.A § 1211(b).
Name of spouse/domestic partner	Name of lobbying firm, if applicable
4	
I hereby certify that the information provided above is true an information, and belief. Signature Send this completed disclosure form with "Disclosure" in the sometimes of the state of	Date: 14/21
Or mail via USPS to:	

VSEC revised: December 2020

Vermont State Ethics Commission, 6 Baldwin St., Montpelier, VT 05633-7950