

**FINANCIAL DISCLOSURE STATEMENT:
BIENNIAL FOR EXECUTIVE OFFICERS & COMMISSION MEMBERS**

WHO MUST FILE AND WHEN: Pursuant to 3 V.S.A §§ 1211(a) and 1212(a), each Executive Officer, each member of the State Ethics Commission, and the Executive Director of the State Ethics Commission must file the following financial disclosure statement biennially. **This financial disclosure statement must be filed on or before January 15 of odd-numbered years or within 10 days after appointment.** The financial information is for the prior calendar year.

Please attach additional pages as necessary to complete this form.

Financial information for calendar year: 2018 Date assumed office or date of appointment: 2017 (4 year term)

Name of Officer/Commission Member: _____

Office/Agency: Office of the Defe Title: Defender General

E-mail address: matthew.valerio@vermont.gov

1. Sources of personal income - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner [3 V.S.A § 1211(d)(1)], that totals more than \$5,000. [3 V.S.A § 1211(a)(1)]

A. Employment – List employer and employer business address. If self-employed, describe the nature of the self-employment.

Neither I nor my spouse, or domestic partner have sources of employment income required to be listed.

| Employer Name | Employer Business Address |
|-------------------|--|
| State of Vermont | ODG, 6 Baldwin Street, 4th Floor, Montpelier, VT 05633 |
| Union Church C.C. | 5 Church Street, Proctor, VT 05765 |
| | |

B. Investment Income - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner, that totals more than \$5,000. Sources of investment income include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. **Retirement holdings need not be listed.** [3 V.S.A § 1211(a)(1)(B)]

Neither I nor my spouse or domestic partner have investments required to be listed.

| Source | Nature of Investment |
|---------------------|--|
| Mass Financial Svcs | Various Mutual Funds (See attached list) |
| Horace Mann | Wilshire Variable Insurance Trust Global Allocation Fund |
| Real Estate | Apartment Building, West Rutland, Vermont |
| Francis Note | Note for Purchase of Vermont Cyber Solutions, Inc. |

2. **Service** – List service on each Board, Commission, Committee or other Entity that is regulated by law or that receives state funding. [3 V.S.A § 1211 (a)(2)]

I have no service I am required to list.

| Board, Commission, Committee Entity | Position on Board, Commission, Committee or other Entity |
|-------------------------------------|--|
| See Attached list | |
| | |

3. **Business** – List any company that the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(3)]

Neither I nor my spouse or domestic partner has businesses required to be listed.

| Business Name | Business Address |
|---------------|------------------|
| | |
| | |

4. **Lease or Contract** – List any lease or contract with the State held or entered into by: (A) the officer/commission member or his or her spouse or domestic partner; or (B) a company of which the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(4)]

Neither I nor my spouse or domestic partner have leases or contracts required to be listed.

| Type of lease or contract |
|---------------------------|
| |
| |


5. **Lobbying Activities** – if an officer/commission member’s spouse or domestic partner is a lobbyist, the officer/commission member shall disclose that fact and provide the name of his or her spouse or domestic partner and, if applicable, the name of his or her lobbying firm. [3 V.S.A § 1211 (b)]

My spouse/domestic partner has no lobbying activities required to be listed.

| Lobbying firm | Name and role of spouse or domestic partner |
|---------------|---|
| | |
| | |

I hereby certify, under the pains and penalties of perjury, that the information provided is true and accurate to the best of my knowledge, information, and belief.

Date: 1/14/19

Signature of officer/commission member: 

RETURN COMPLETED STATEMENT TO THE EXECUTIVE DIRECTOR OF THE STATE ETHICS COMMISSION. [3 V.S.A. § 1211(a) and 3 V.S.A § 1212(a)]

ATTACHED LIST OF INVESTMENTS: Matthew F. Valerio, Vermont Defender General

January 14, 2019

Mass Investors Growth Stock Fund-A

MFS Municipal Bond Fund-A

MFS Capital Opportunities Fund-A

MFS Research Bond Fund-A

MFS Mid-Cap Growth Fund-A

MFS Core Growth Fund-A

MFS Conservative Allocation Fund-A

MFS Moderate Allocation Fund-A

MFS Growth Allocation Fund-A

MFS Technology Fund-A

MFS Core Growth Fund-B

Wilshire Variable Insurance Trust Global Allocation Fund – Horace Mann Shares

U.S. Treasury Bonds

ATTACHED LIST OF COMMISSIONS & COMMITTEES: Matthew F. Valerio, Vermont Defender General

January 14 , 2019

DEFENDER GENERAL

Law Enforcement Advisory Board

Gov. Commission on Violence Prevention

Sentencing Commission

Justice for Children Task Force

Other Committees Established and required by law
