

## FINANCIAL DISCLOSURE STATEMENT: BIENNIAL FOR EXECUTIVE OFFICERS & COMMISSION MEMBERS

**WHO MUST FILE AND WHEN:** Pursuant to 3 V.S.A §§ 1211(a) and 1212(a), each Executive Officer, each member of the State Ethics Commission, and the Executive Director of the State Ethics Commission must file the following financial disclosure statement biennially. **This financial disclosure statement must be filed on or before January 15 of odd-numbered years or within 10 days after appointment.** The financial information is for the prior calendar year.

**Please attach additional pages as necessary to complete this form.**

Financial information for calendar year: 2019 Date assumed office or date of appointment: February 2017

Name of Officer/Commission Member: Karen Shea Denniston

Office/Agency: AHS, DCF Family Services Division Title: Deputy Commissioner

E-mail address: karen.shea@vermont.gov

1. **Sources of personal income** - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner [3 V.S.A § 1211(d)(1)], that totals more than \$5,000. [3 V.S.A § 1211(a)(1)]

**A. Employment** – List employer and employer business address. If self-employed, describe the nature of the self-employment.

Neither I nor my spouse, or domestic partner have sources of employment income required to be listed.

| Employer Name        | Employer Business Address                  |
|----------------------|--|
| Karen Shea Denniston | State of Vermont, Family Services Division |
| Douglas Denniston    | State of Vermont, Office of Child Support  |
|                      |  |

**B. Investment Income** - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner, that totals more than \$5,000. Sources of investment income include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. **Retirement holdings need not be listed.** [3 V.S.A § 1211(a)(1)(B)]

Neither I nor my spouse or domestic partner have investments required to be listed.

| Source | Nature of Investment |
|--------|----------------------|
|        |                      |
|        |                      |
|        |                      |
|        |                      |

2. **Service** – List service on each Board, Commission, Committee or other Entity that is regulated by law or that receives state funding. [3 V.S.A § 1211 (a)(2)]

I have no service I am required to list.

| Board, Commission, Committee Entity | Position on Board, Commission, Committee or other Entity |
|-------------------------------------|--|
|                                     |  |
|                                     |  |

3. **Business** – List any company that the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(3)]

Neither I nor my spouse or domestic partner has businesses required to be listed.

| Business Name | Business Address |
|---------------|------------------|
|               |                  |
|               |                  |

4. **Lease or Contract** – List any lease or contract with the State held or entered into by: (A) the officer/commission member or his or her spouse or domestic partner; or (B) a company of which the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(4)]

Neither I nor my spouse or domestic partner have leases or contracts required to be listed.

| Type of lease or contract |
|---------------------------|
|                           |
|                           |

5. **Lobbying Activities** – if an officer/commission member’s spouse or domestic partner is a lobbyist, the officer/commission member shall disclose that fact and provide the name of his or her spouse or domestic partner and, if applicable, the name of his or her lobbying firm. [3 V.S.A § 1211 (b)]

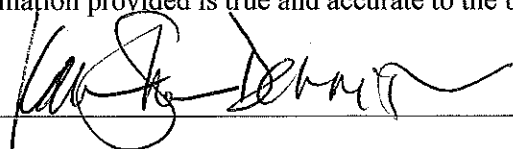
My spouse/domestic partner has no lobbying activities required to be listed.

| Lobbying firm | Name and role of spouse or domestic partner |
|---------------|---|
|               |   |
|               |   |

I hereby certify, under the pains and penalties of perjury, that the information provided is true and accurate to the best of my knowledge, information, and belief.

Date: 1/14/2019

Signature of officer/commission member: \_\_\_\_\_



**RETURN COMPLETED STATEMENT TO THE EXECUTIVE DIRECTOR OF THE STATE ETHICS COMMISSION.** [3 V.S.A. § 1211(a) and 3 V.S.A § 1212(a)]