

**FINANCIAL DISCLOSURE STATEMENT:
BIENNIAL FOR EXECUTIVE OFFICERS & COMMISSION MEMBERS**

WHO MUST FILE AND WHEN: Pursuant to 3 V.S.A §§ 1211(a) and 1212(a), each Executive Officer, each member of the State Ethics Commission, and the Executive Director of the State Ethics Commission must file the following financial disclosure statement biennially. **This financial disclosure statement must be filed on or before January 15 of odd-numbered years or within 10 days after appointment.** The financial information is for the prior calendar year.

Please attach additional pages as necessary to complete this form.

Financial information for calendar year: 2019 Date assumed office or date of appointment: September 2014

Name of Officer/Commission Member: Ken Schatz

Office/Agency: DCF/AHS Title: Commissioner

E-mail address: ken.schatz@vermont.gov

1. Sources of personal income - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner [3 V.S.A § 1211(d)(1)], that totals more than \$5,000. [3 V.S.A § 1211(a)(1)]

A. Employment – List employer and employer business address. If self-employed, describe the nature of the self-employment.

Neither I nor my spouse, or domestic partner have sources of employment income required to be listed.

Employer Name	Employer Business Address
State of Vermont	280 State Drive, HC 1 North, Waterbury, VT 05671-1080

B. Investment Income - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner, that totals more than \$5,000. Sources of investment income include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. **Retirement holdings need not be listed.** [3 V.S.A § 1211(a)(1)(B)]

Neither I nor my spouse or domestic partner have investments required to be listed.

Source	Nature of Investment
KerrSchatz Family Living Trust	Money market funds, stocks and bonds, which do not represent more than 10% ownership of any company

2. **Service** – List service on each Board, Commission, Committee or other Entity that is regulated by law or that receives state funding. [3 V.S.A § 1211 (a)(2)]

I have no service I am required to list.

Board, Commission, Committee Entity	Position on Board, Commission, Committee or other Entity

3. **Business** – List any company that the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(3)]

Neither I nor my spouse or domestic partner has businesses required to be listed.

Business Name	Business Address

4. **Lease or Contract** – List any lease or contract with the State held or entered into by: (A) the officer/commission member or his or her spouse or domestic partner; or (B) a company of which the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(4)]

Neither I nor my spouse or domestic partner have leases or contracts required to be listed.

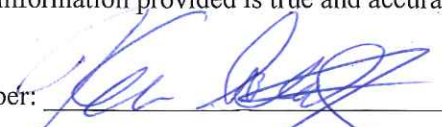
Type of lease or contract

5. **Lobbying Activities** – if an officer/commission member’s spouse or domestic partner is a lobbyist, the officer/commission member shall disclose that fact and provide the name of his or her spouse or domestic partner and, if applicable, the name of his or her lobbying firm. [3 V.S.A § 1211 (b)]

My spouse/domestic partner has no lobbying activities required to be listed.

Lobbying firm	Name and role of spouse or domestic partner

I hereby certify, under the pains and penalties of perjury, that the information provided is true and accurate to the best of my knowledge, information, and belief.

Date: 1/15/2019 Signature of officer/commission member: 

RETURN COMPLETED STATEMENT TO THE EXECUTIVE DIRECTOR OF THE STATE ETHICS COMMISSION. [3 V.S.A. § 1211(a) and 3 V.S.A § 1212(a)]