

**FINANCIAL DISCLOSURE STATEMENT:  
BIENNIAL FOR EXECUTIVE OFFICERS & COMMISSION MEMBERS**

**WHO MUST FILE AND WHEN:** Pursuant to 3 V.S.A §§ 1211(a) and 1212(a), each Executive Officer, each member of the State Ethics Commission, and the Executive Director of the State Ethics Commission must file the following financial disclosure statement biennially. **This financial disclosure statement must be filed on or before January 15 of odd-numbered years or within 10 days after appointment.** The financial information is for the prior calendar year.

**Please attach additional pages as necessary to complete this form.**

Financial information for calendar year: 2019 Date assumed office or date of appointment: 2/27/2017

Name of Officer/Commission Member: James Riley Allen

Office/Agency: Public Service Dept Title: Deputy Commissioner

E-mail address: riley.allen@vermont.gov

**1. Sources of personal income** - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner [3 V.S.A § 1211(d)(1)], that totals more than \$5,000. [3 V.S.A § 1211(a)(1)]

**A. Employment** – List employer and employer business address. If self-employed, describe the nature of the self-employment.

Neither I nor my spouse, or domestic partner have sources of employment income required to be listed.

Employer Name	Employer Business Address
Bingham, Fox & Skinner	453 Stone Cottage Way, Montpelier, VT 05602

**B. Investment Income** - Disclose each source, but not amount, of personal income of the officer/commission member and of his or her spouse or domestic partner, that totals more than \$5,000. Sources of investment income include, but are not limited to, stocks, bonds, mutual funds, income-producing property, joint ventures and business interests not included in Part 3 below. Brokerage firms may be listed; individual stock holdings need not be disclosed unless stock ownership represents 10% or more, in which case the ownership should be listed in Part 3 below. **Retirement holdings need not be listed.** [3 V.S.A § 1211(a)(1)(B)]

Neither I nor my spouse or domestic partner have investments required to be listed.

Source	Nature of Investment
Mutual Fund	Stocks and bonds personal to Lesley Allen

2. **Service** – List service on each Board, Commission, Committee or other Entity that is regulated by law or that receives state funding. [3 V.S.A § 1211 (a)(2)]

I have no service I am required to list.

Board, Commission, Committee Entity	Position on Board, Commission, Committee or other Entity

3. **Business** – List any company that the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(3)]

Neither I nor my spouse or domestic partner has businesses required to be listed.

Business Name	Business Address

4. **Lease or Contract** – List any lease or contract with the State held or entered into by: (A) the officer/commission member or his or her spouse or domestic partner; or (B) a company of which the officer/commission member or his or her spouse or domestic partner, or the officer/commission member together with his or her spouse or domestic partner, owns more than 10 percent. [3 V.S.A § 1211 (a)(4)]

Neither I nor my spouse or domestic partner have leases or contracts required to be listed.


Type of lease or contract

5. **Lobbying Activities** – if an officer/commission member’s spouse or domestic partner is a lobbyist, the officer/commission member shall disclose that fact and provide the name of his or her spouse or domestic partner and, if applicable, the name of his or her lobbying firm. [3 V.S.A § 1211 (b)]

My spouse/domestic partner has no lobbying activities required to be listed.

Lobbying firm	Name and role of spouse or domestic partner

I hereby certify, under the pains and penalties of perjury, that the information provided is true and accurate to the best of my knowledge, information, and belief.

Date: 1/11/2019 Signature of officer/commission member: 

**RETURN COMPLETED STATEMENT TO THE EXECUTIVE DIRECTOR OF THE STATE ETHICS COMMISSION. [3 V.S.A. § 1211(a) and 3 V.S.A § 1212(a)]**